



**DR. BUU NYGREN** *PRESIDENT*  
**RICHELLE MONTOYA** *VICE PRESIDENT*

The Navajo Nation | Yideeskáądi Nitsáhákees

April 19, 2023

**TRANSMITTAL**

TO : Calvin Castillo, Executive Director  
 Division of Community Development (DCD)

FROM : Cshwiny  
 Cordell Shortey, Contracting Officer  
 Contracts & Grants Section (CGS) / OMB

SUBJECT : BU on Budget for Projects / Activities for White Cone Chapter House, Greasewood Springs Chapter House, Teesto Chapter House, Indian Wells Chapter House, & Dilkon Chapter House - ARPA Funds Delegate Yazzie Region

**I. Information on Contract (per Original Award):**

White Cone Chapter House, Greasewood Springs Chapter House, Teesto Chapter House, Indian Wells Chapter House, Dilkon Chapter House	U.S. Treasury American Recovery Plan Act (ARPA)		21.027
Title of Contract	Funding Agency	CFDA No. - Federal	
CD-69-22	2022	03/11/2021 to 12/31/2026	
Grant No.	Amount	Fiscal Year	Term - Begin and End Date
	\$ 8,802,340.00		

**II. Data Entered in FMIS Regarding:**

New Contract or Grant Company No. 8059 Business Unit (K#) K2115123 to K2115131

Contract Mod No. \_\_\_\_\_

Amt of Budget \$6,225,968.01 \$0.00 to \$6,225,968.01

AMOUNT FROM TO

Budget Period - Extend End Date: From \_\_\_\_\_ To \_\_\_\_\_

Other, specify: \_\_\_\_\_

**Authorizing Document - Attached:**

Contract / Agreement - Date executed \_\_\_\_\_

NNC / Committee Resolution - No. & Date NN Council Resolution CD-69-22

Other, specify: Budget on projects / activities for Chapters listed above submitted by NN FRF Office

**III. Comments by CGS:**

This Transmittal documents budget entered in FMIS on \$8.2 mil ARPA funds allocated to CD Cherilyn Yazzie Region. Budget received on February 10, 2023 is authorized for implementation pursuant to FY 2023 NN BIM Appendix L Section II. B. and concurred by Navajo Nation Fiscal Recovery Fund (NN FRF) Office by memorandum of February 10, 2023. Delay in BU setup is due to incorrect object code on budget. NN FRF Office submitted corrected budget to CGS on April 10, 2023. Y-T-D budget entered into FMIS for CD Yazzie Region is \$6,225,968.01

Attachment  
 Copy: Contract files  
 Contract Accounting / OOC / DPM  
 Tom Platero, Executive Director - NN FRF Office

Revised February 2023

**Checklist of Approved Documents in Resolution Approving Chapter/Regional Expenditure Plan  
(Ready for BU Setup)  
As of February 10, 2023**

	Description of CD Project	Approved NIN Council Signed Resolution	Approved DOI IED	Appendix A w/ Signatures	Budget Forms 1,2,4	Program Budget Summary	Approved Chapter Resolution	Amount	Notes	Ready for OMB
<b>K2115123</b>	1. White Cone Chp Community Cemetery	X	X	X	X	X	X	\$ 1,000.00	New DCD Exc Director signed budgets	14. X
<b>K2115124</b>	2. Greasewood Springs Chp Reservoir/Aquifer Recharge Project	X	X	X	X	X	X	\$ 200,000.00	New DCD Exc Director signed budgets	15. X
<b>K2115125</b>	3. Teesto Chp Heavy Equipment Purchase (John Deere 724P)	X	X	X	X	X	X	\$ 317,445.81	New DCD Exc Director signed budgets	16. X
<b>K2115126</b>	4. Teesto Chp Feasibility Studies for all washes in Teesto Service Area	X	X	X	X	X	X	\$ 300,000.00	New DCD Exc Director signed budgets	17. X
<b>K2115127</b>	5. Teesto Chp 2021 John Deere 324G with 2021 JRB 48Forks	X	X	X	X	X	X	\$ 53,828.20	New DCD Exc Director signed budgets	18. X
<b>K2115128</b>	6. Indian Wells Chp Housing Renovations	X	X	X	X	X	X	\$ 1,760,468.00	New DCD Exc Director signed budgets	19. X
<b>K2115129</b>	7. Dilkon Chp Home Rehabilitation Project	X	X	X	X	X	X	\$ 1,760,467.00	New DCD Exc Director signed budgets	20. X
<b>K2115130</b>	8. White Cone Chp House Repair & Improvement	X	X	X	X	<del>44</del>	X	\$ 1,759,468.00	New DCD Exc Dir. Signed budgets. <del>Assine appendix 1</del>	
<b>K2115131</b>	9. Teesto Chp ADA Bathroom Additions for 10-Families	X	X	X	X	X	X	\$ 73,291.00	New DCD Exc Director signed budgets	21. X
	<b>Total:</b>							<b>\$ 6,225,968.01</b>		

NNDOJ  
Initial Eligibility  
Determination



**NAVAJO NATION DEPARTMENT OF JUSTICE**  
**OFFICE OF THE ATTORNEY GENERAL**

DOREEN N MCPAUL  
Attorney General

KIMBERLY A DUTCHER  
Deputy Attorney General

**DEPARTMENT OF JUSTICE**  
**INITIAL ELIGIBILITY DETERMINATION**  
**FOR NAVAJO NATION FISCAL RECOVERY FUNDS**



**RFS/HK Review #:** HK0252

**Date & Time Received:** 12/2/2022 4:58 PM

**Date & Time of Response:** 12/09/2022 5:00 PM

**Entity Requesting FRF:** Dilkon Chapter

**Title of Project:** Home Rehabilitation Project

**Administrative Oversight:** Division of Community Development

**Amount of Funding Requested:** \$1,760,467

**Eligibility Determination:**

- FRF eligible
- FRF ineligible
- Additional information requested

**FRF Eligibility Category:**

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure

**U.S. Department of Treasury Reporting Expenditure Category:** 2.18, Housing Support: Other Housing Assistance

**Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):**

- Missing Form
- Supporting documentation missing
- Project will not be completed by 12/31/2026
- Ineligible purpose
- Submitter failed to timely submit CARES reports
- Additional information submitted is insufficient to make a proper determination
- Expenditure Plan incomplete
- Funds will not be obligated by 12/31/2024
- Incorrect Signatory
- Inconsistent with applicable NN or federal laws

Other Comments:

Name of DOJ Reviewer: **MacArthur Stant**

Signature of DOJ Reviewer: 

Disclaimers: An NNDOJ Initial Eligibility Determination will be based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

Appendix A

Per

BFS-31-21



**THE NAVAJO NATION  
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN  
FOR GOVERNANCE-CERTIFIED CHAPTERS**

**Part 1. Identification of parties.**

Governance-Certified Chapter requesting FRF: DILKON CHAPTER Date prepared: 8/23/22

Chapter's mailing address: HC 63, BOX 207, WINSLOW, AZ 86047 phone & email: 928-657-8100  
dilkon@navajochapters.org website (if any): \_\_\_\_\_

This Form prepared by: MARGIE BARTON phone/email: 928-613-8539  
MARGIE BARTON mbarton@nnchapters.org  
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: HOME REHABILITATION PROJECT

Chapter President: LORENZO LEE phone & email: 928-613-8197 lleeer@naatanii.org  
 Chapter Vice-President: FELIX TSINIJJINNIE phone & email: 928-206-4417 ftsinijinnie@gmail.com  
 Chapter Secretary: ELIZABETH YAZZIE phone & email: 928-882-8575 elizabethy@ntua.com  
 Chapter Treasurer: ELIZABETH YAZZIE phone & email: 928-882-8575 elizabethy@ntua.com  
 Chapter Manager or CSC: MARGIE BARTON phone & email: 928-613-8539 mbarton@nnchapters.org  
 DCD/Chapter ASO: EUNICE BEGAY phone & email: 928-654-3933 ejbegay@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): LOCAL DINE' GENERAL CONTRACTOR, SUB-CONTRACTORS, AND LOCAL WORKERS.  document attached

Amount of FRF requested: \$1,760,467 FRF funding period: NTP TO DECEMBER 30, 2026  
Indicate Project starting and ending/dos/done date

**Part 2. Expenditure Plan details.**

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

**THE HOME REHABILITATION PROJECT WILL FUND 35-45 DAMAGED HOMES OR HOMES IN POOR CONDITION THAT WILL BE RESTORED TO ITS FORMER STATE FOR THE RESIDENTS OF DILKON CHAPTER. EACH HOME WILL HAVE A SCOPE OF WORK TO DETERMINE THE NEED AND COST. THE SERVICES MAY INCLUDE EXTERIOR REPAIR TO ROOFS, WALLS, WINDOWS, AND DOORS REPLACEMENT. INTERIOR WORK REPAIR TO INCLUDE CEILING, WALLS, FLOOR, DOORS, ELECTRICAL, PLUMBING, KITCHEN, RESTROOM, HEATING STOVE REPLACEMENTS. ADA COMPLIANT WORK AND RAMPS IF NEEDED.**  document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

**THE DILKON CHAPTER COMMUNITY MEMBERS WILL BENEFIT FROM REPAIR OF THEIR HOMES TO IMPROVE THEIR QUALITY OF LIFE. THEY WILL HAVE A SAFE AND SANITARY HOMES TO RESIDE IN TO ENSURE THEY ARE PHYSICALLY, EMOTIONALLY, AND MENTALLY HEALTHY, STRONG, AND ABLE TO RECOVER AND CONTINUE WITH THEIR LIVELIHOOD.**  document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

**APPENDIX A**

THE PROJECT WILL IMMEDIATELY BEGIN UPON NTP AND END ON 12/31/26. THE DILKON CHAPTER WILL CONTRACT WITH THE CONSTRUCTION COMPANY FOR LABOR ONLY SERVICES. THE CHAPTER WILL BE RESPONSIBLE FOR THE PURCHASE OF SUPPLIES, MATERIAL, EQUIPMENT & PROJECT MANAGEMENT SERVICES, & A PROJECT SCHEDULE.

document attached

(d) Identify who will be responsible for implementing the Program or Project:  
MARGIE BARTON, CHAPTER MANAGER  
CHARMAYNE BILLIE, ADMINISTRATIVE ASSISTANT

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:  
THE GENERAL CONTRACTOR WILL BE REQUIRED TO PROVIDE ONE YEAR WARRANTY SERVICES PER HOME. THE WARRANTY DOCUMENTS WILL BE COMPLETED PER HOME UPON COMPLETION. THERE WILL BE A 10 % RETAINAGE FEE HELD PER INVOICE TO ENSURE THE WARRANTY WORK IS COMPLETED.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:  
3.12 - HOUSING SUPPORT: OTHER HOUSING ASSISTANCE  
THE DILKON CHAPTER HAVE RESIDENTS THAT LIVE IN DILAPIDATED, DAMAGED, UNSAFE, AND UNSANITARY HOME CONDITIONS. THE HOUSING ASSISTANCE WILL INCLUDE THE REPAIR AND REHABILITATION OF THESE HOMES TO PUT THEM BACK TO SAFER AND SANITARY CONDITIONS THAT WILL BE READY FOR WATER AND ELECTRICAL OR SOLAR CONNECTIONS BY OTHERS.  
  
DILKON CHAPTER IS A CERTIFIED CHAPTER THAT WILL COMPLETE AN AGREEMENT WITH OTHER NON-CERTIFIED CHAPTERS (I.E. INDIAN WELLS CHAPTER) TO INCLUDE THEIR HOMES TO THIS PROJECT.

document attached

**Part 3. Additional documents.**

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

THE PROJECT WILL IMMEDIATELY BEGIN UPON NTP AND END ON 12/31/26. THE DILKON CHAPTER WILL CONTRACT WITH THE CONSTRUCTION COMPANY FOR LABOR ONLY SERVICES. THE CHAPTER WILL BE RESPONSIBLE FOR THE PURCHASE OF SUPPLIES, MATERIAL, EQUIPMENT & PROJECT MANAGEMENT SERVICES, & A PROJECT SCHEDULE.

Chapter Resolution attached

**Part 4. Affirmation by Funding Recipient.**

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Margie Barton signature of Preparer/CONTACT PERSON  
Approved by: [Signature] signature of Chapter President (or Vice-President)  
Approved by: Margie Barton signature of Chapter Manager or CSC  
Approved by: [Signature] signature of DCD Chapter ASD  
Approved to submit for Review: [Signature] 3/24/2027 signature of DCD Director



Appendix J:  
Project Budget  
Summary  
Per  
CJN-29-22



Signed  
Budget

Forms 1, 2 & 4

(Other budget forms if needed)

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: NEW Program Title: DILKON CHAPTER - Project *Home Rehabilitation* Division/Branch: DCD  
 Prepared By: Margie Barton, Chapter Manager Phone No.: (928) 657-8100 Email Address: *mw*

PART II. FUNDING SOURCE(S)		Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY		
ARPA funds		10/23-9/2023	1,760,467.00	100%	Fund Type Code	Proposed Budget	Difference or Total
					2001 Personnel Expenses		
					3000 Travel Expenses		
					3500 Meeting Expenses		
					4000 Supplies		
					5000 Lease and Rental		
					5500 Communications and Utilities		
					6000 Repairs and Maintenance		
					6500 Contractual Services	1,760,467	
					7000 Special Transactions		
					8000 Public Assistance		
					9000 Capital Outlay		
					9500 Matching Funds		
					9500 Indirect Cost		
					TOTAL	\$0.00	1,760,467.00
					PART IV. POSITIONS AND VEHICLES		
					Total # of Positions Budgeted: 0		
					Total # of Vehicles Budgeted: 0		
					TOTAL (D) 0 (E) 0		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: *Sonlisa Jim-Martin*, Program Manager APPROVED BY: *Dr. Pearl Yelloman*, Division Director  
 Program Manager's Printed Name: *Sonlisa Jim-Martin* Division Director / Branch Chief's Printed Name: *Dr. Pearl Yelloman*  
 Program Manager's Signature and Date: *[Signature]* 10/26/2022 Division Director / Branch Chief's Signature and Date: *[Signature]* 2/9/2023



*mw* 4/12/23

THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

**PART I. PROGRAM INFORMATION:**  
 Business Unit No.: NEW Program Name/Title: Dixon Chapter - Home Rehabilitation Project

**PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:**  
 The Dixon Chapter Home Rehabilitation Project will repair homes in poor conditions for residents of Dixon Chapter. This project will improve the quality of life, provide a safe and sanitary homes.

**PART III. PROGRAM PERFORMANCE CRITERIA:**

1. Goal Statement:	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
Select a general contractor and have a signed contract by the end of 4th quarter Program Performance Measure/Objective: Hire a general contractor who will start the project upon Notice to Proceed.					0			1
All work will be completed in a professional manner, within budget, and time schedule. Program Performance Measure/Objective: Monthly construction meetings will include progress reports from general contractor.	10		10		10		10	
All work will be inspected to ensure compliance with the State of Arizona building code. Program Performance Measure/Objective: Regular inspections will be completed and approved before invoices are paid.	10		10		10		10	
All work will be under warranty for one year and retention fee will be held. Program Performance Measure/Objective: Homes will be re-inspected after completion at 6th, 9th, and 11th month.	10		10		10		10	
All warranty work will be completed & any left retention fee released to the General Contractor Program Performance Measure/Objective: Homeowners will be provided with general instructions of proper home care & maintenance.	10		10		10		10	

**PART IV. THEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**

Sonlisa Jim-Martin, Program Manager  
 Program Manager's Printed Name  
 Signature: *Sonlisa Jim-Martin*  
 Program Manager's Signature and Date  
 Date: 4/12/22

Dr. Pear Yellowan, Division Director  
 Division Director/Branch Chief's Printed Name  
 Signature: *Dr. Pear Yellowan*  
 Division Director/Branch Chief's Signature and Date  
 Date: 2/9/2023



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2022

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Dilkon Chapter - <u>Projects. <i>UN</i></u> Business Unit No.: _____ NEW			
<b>PART II. DETAILED BUDGET:</b>			
		(C) Total by DETAILED Object Code (LOD 6)	(U) Total by MAJOR Object Code (LOD 4)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)		
<i>10500<sup>kw</sup></i>	<i>Contractual Services<sup>kw</sup></i>		<i>17,100,467<sup>kw</sup></i>
<i>10520<sup>kw</sup></i>	<i>Consulting<sup>kw</sup></i>		
	<i>10530 - consulting - Fees<sup>kw</sup></i>	<i>880,232<sup>kw</sup></i>	
	<i>10540 - consulting - Expenses<sup>kw</sup></i>	<i>880,235<sup>kw</sup></i>	
		<i>17,100,467<sup>kw</sup></i>	
<b>TOTAL</b>		1,760,467	1,760,467

*10520 4/10/23*

*LOD 6 -*



Chapter Resolutions  
and Other  
Supporting  
Documents

*Elmer P. Heery, Council Delegate  
Lorenzo Lee, Sr., President  
Felix Tsuijinnie, Vice President  
Elizabeth Yazzie, Secretary Treasurer  
Chester Barton, Grazing Committee Officer  
Margie Barton, Chapter Manager  
Charmayne Billie, Administrative Assistant*

**DILKON CHAPTER  
HCR 63 BOX E • WINSLOW, AZ • 86047**

*Jonathan Nez*

**PRESIDENT**

*Myron Lizer*  
**VICE PRESIDENT**

**RESOLUTION NUMBER: DIL-2022-09-001**

**RESOLUTION OF THE DILKON CHAPTER OF THE NAVAJO NATION**

**APPROVING AND SUPPORTING THE DILKON CHAPTER FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN FOR GOVERNANCE-CERTIFIED CHAPTERS THAT LIST THE HOME REHABILITATION PROJECT UNDER CATEGORY 3.12 – HOUSING SUPPORT: OTHER HOUSING ASSISTANCE TO BE FUNDED IN THE AMOUNT OF \$1,760,467.00 DIRECTLY TO DILKON CHAPTER FOR FUNDING PERIOD FROM THE NOTICE TO PROCEED TO SEPTEMBER 30, 2026.**

**WHEREAS:**

1. Pursuant to 26 N.N.C Section 3(A) the Dilkon Chapter is a duly recognized certified chapter of the Navajo Nation Government, as listed at 11N.N.C, part 1, Section 10; and
2. Pursuant to 26 N.N.C Section 1 (B) Dilkon Chapter is vested with the authority to review all matters affecting the community; and
3. Dilkon Chapter is vested with the authority to make appropriate decisions on behalf of the community and to make recommendations to the Navajo Nation and other agencies for appropriate actions; and
4. Dilkon Chapter completed the home survey of community residents who are in need of home rehabilitation services to bring their homes to a safe and sanitary condition; and
5. The Dilkon Chapter residents have endured decades of living in harsh conditions without running water and bathroom services in their homes; and
6. The Dilkon Chapter Home Rehabilitation Project will assist homeowners with labor and material for their homes in preparation for the installation of running water and bathrooms from other entities funded to complete this work; and
7. The Dilkon Chapter will obtain direct funding to hire a local Dine' General Contractor who will hire local Dine' sub-contractors and workers to complete the scope of work for each home; and
8. The Dilkon Chapter Manager, Administrative Assistant, and the hired project manager and housing inspector will be the responsible staff to ensure all work is completed in a professional manner, within budget, per project schedule, and ensure all homeowners are provided basic home care, maintenance training, and one year warranty services to sustain their homes.

**NOW THEREFORE BE IT RESOLVED THAT;**

The Dilkon Chapter approves and supports the submission of the Dilkon Chapter Fiscal Recovery Funds Request Form & Expenditure Plan for Governance-Certified Chapters that List the Home Rehabilitation Project under Category 3.12 – Housing Support: Other Housing Assistance to be funded in the Amount of \$1,760,467.00 Directly to Dilkon Chapter for Funding Period from the Notice to Proceed to September 30, 2026.

Page 2

Subject: RESOLUTION DIL-2022-09-002

### CERTIFICATION

Dilkon Chapter hereby approves the foregoing resolution was considered by the Dilkon Chapter at a duly called meeting in Dilkon, Navajo Nation, Arizona at which a quorum was present, and that same day was passed by a vote of 4 in favor, 0 opposed and 3 abstained on this 16th day of September, 2022.

Motion: Jonathan Manygoats

Second: Felix Tsimjinnie

Presiding Chair:



Lorenzo Lee Sr., President  
Dilkon Chapter